

# **EXHIBIT B**

F. #2016R00505

AO 110 (Rev. 06/09) Subpoena to Testify Before a Grand Jury

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

SUBPOENA TO TESTIFY BEFORE A GRAND JURY

To: Platinum Management (NY) LLC  
Attn: David Ottensoser, CCO and GC  
250 West 55th Street, 14th Floor  
New York, NY 10019

**YOU ARE COMMANDED** to appear in this United States district court at the time, date, and place shown below to testify before the court's grand jury. When you arrive, you must remain at the court until the judge or a court officer allows you to leave.

Place: U.S. District Court, Eastern District of New York  
225 Cadman Plaza East, 5th Floor, Room N547  
Brooklyn, New York 11201

Date and Time:  
June 22, 2016  
10:00 A.M.

You must also bring with you the following documents, electronically stored information, or objects (*blank if not applicable*):

SEE ATTACHED RIDER



You may comply with this subpoena by sending the requested records to:  
SA Craig Minsky, FBI - Squad C-35, 80-02 Kew Gardens Road, Kew Gardens,  
NY 11415; tel: 718-286-7340; email: [craig.minsky@ic.fbi.gov](mailto:craig.minsky@ic.fbi.gov)

Date: 6/8/2016

**DOUGLAS C. PALMER**

CLERK OF COURT

A handwritten signature in dark ink, appearing to read "D. Palmer", is written over a horizontal line.

*Signature of Clerk or Deputy Clerk*

The name, address, e-mail, and telephone number of the United States attorney, or assistant United States attorney, who requests this subpoena, are:

Winston M. Paes, AUSA  
U.S. Attorney's Office  
Eastern District of New York  
271 Cadman Plaza East  
Brooklyn, New York 11201  
(718) 254-6023

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PROOF OF SERVICE

This subpoena for *name of individual or organization* \_\_\_\_\_  
was received by me on *date* \_\_\_\_\_.

☐ I served the subpoena by delivering a copy to the named person as follows: \_\_\_\_\_

\_\_\_\_\_ on *date* \_\_\_\_\_; or

☐ I returned the subpoena unexecuted because: \_\_\_\_\_

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_  
\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

**RIDER TO GJ SUBPOENA**

**Platinum Management (NY) LLC**  
Attn: David Ottensoser, CCO and GC  
250 West 55<sup>th</sup> Street, 14<sup>th</sup> Floor  
New York, NY 10019

For the period from January 1, 2010 to the present, unless indicated otherwise:

Please provide the following materials:<sup>1</sup>

1. Organization charts of Platinum Management (NY) LLC and all its funds, affiliates, subsidiaries, and entities under its control (collectively, "Platinum").
2. List of employees and outside contractors with titles, general responsibilities, dates of employment and compensation information.
3. Any and all performance and valuation summaries or reports for Platinum, including Platinum Partners Value Arbitrage Fund, LP ("PPVA") and Platinum Partners Credit Opportunity Fund, LP ("PPCO").
4. List of all investors in Platinum, including PPVA and PPCO, along with the amount of their investment, the performance or returns on investment, amount of fees paid (with breakdown), and any redemptions.
5. Any and all communications with investors in Platinum, including PPVA and PPCO.
6. Any and all records, including communications to investors and auditors, concerning Platinum's assets under management, the investments in its portfolios, the valuation of assets, and the performance of the investments.
7. Any and all records, including communications (written or recorded), money transfers, internal memoranda and reports, audit reports and valuation reports, concerning Platinum's investments in: (i) Black Elk Energy Offshore Operations, LLC ("Black Elk"); (ii) Golden Gate Oil LLC ("Golden Gate"); (iii) Glacial Energy; (iv) AirDye Solutions LLC f/k/a Colorep Inc. ("AirDye"); (v) Minque Limited; (v) Implant Sciences Corp.; and (vi) China Horizon.
8. All policies, procedures, training materials and related documents.

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<sup>1</sup> To the extent possible, please provide the requested materials in electronic format and as a Concordance load file.